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COUNCIL DECISION 2011/137/CFSP

of 28 February 2011

concerning restrictive measures in view of the situation in Libya

(OJ L 58, 3.3.2011, p. 53)

Amended by:

		Official Journal		
		No	page	date
► <u>M1</u>	Council Implementing Decision 2011/156/CFSP of 10 March 2011	L 64	29	11.3.2011
► <u>M2</u>	Council Implementing Decision 2011/175/CFSP of 21 March 2011	L 76	95	22.3.2011
► <u>M3</u>	Council Decision 2011/178/CFSP of 23 March 2011	L 78	24	24.3.2011
► <u>M4</u>	Council Implementing Decision 2011/236/CFSP of 12 April 2011	L 100	58	14.4.2011
► <u>M5</u>	Council Implementing Decision 2011/300/CFSP of 23 May 2011	L 136	85	24.5.2011
► <u>M6</u>	Council Decision 2011/332/CFSP of 7 June 2011	L 149	10	8.6.2011
► <u>M7</u>	Council Implementing Decision 2011/345/CFSP of 16 June 2011	L 159	93	17.6.2011
► <u>M8</u>	Council Implementing Decision 2011/500/CFSP of 10 August 2011	L 206	53	11.8.2011
► <u>M9</u>	Council Implementing Decision 2011/521/CFSP of 1 September 2011	L 227	15	2.9.2011
► <u>M10</u>	Council Implementing Decision 2011/543/CFSP of 15 September 2011	L 241	30	17.9.2011
► <u>M11</u>	Council Decision 2011/625/CFSP of 22 September 2011	L 246	30	23.9.2011
► <u>M12</u>	Council Decision 2011/729/CFSP of 10 November 2011	L 293	35	11.11.2011
► <u>M13</u>	Council Decision 2011/867/CFSP of 20 December 2011	L 341	56	22.12.2011
► <u>M14</u>	Council Decision 2013/45/CFSP of 22 January 2013	L 20	60	23.1.2013

Corrected by:

- **C1** Corrigendum, OJ L 87, 2.4.2011, p. 31 (2011/137/CFSP)
- **C2** Corrigendum, OJ L 185, 15.7.2011, p. 79 (2011/332/CFSP)



COUNCIL DECISION 2011/137/CFSP

of 28 February 2011

concerning restrictive measures in view of the situation in Libya

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on European Union, and in particular Article 29 thereof,

Whereas:

- (1) On 23 February 2011, the European Union expressed its grave concern regarding the situation unfolding in Libya. The EU strongly condemned the violence and use of force against civilians and deplored the repression against peaceful demonstrators.
- (2) The EU reiterated its call for an immediate end to the use of force and for steps to address the legitimate demands of the population.
- (3) On 26 February 2011, the United Nations Security Council ('the Security Council') adopted Resolution 1970 ('UNSCR 1970 (2011)') which introduced restrictive measures against Libya and against persons and entities involved in serious human rights abuses against persons in Libya, including by being involved in attacks, in violation of international law, on civilian populations and facilities.
- (4) In view of the seriousness of the situation in Libya, the EU considers it necessary to impose additional restrictive measures.
- (5) In addition, further Union action is needed in order to implement certain measures.

HAS ADOPTED THIS DECISION:

Article 1

1. The direct or indirect supply, sale or transfer of arms and related material of all types, including weapons and ammunition, military vehicles and equipment, paramilitary equipment and spare parts for the aforementioned, as well as equipment which might be used for internal repression, to Libya by nationals of Member States or from or through the territories of Member States or using their flag vessels or aircraft, shall be prohibited whether originating or not in their territories.

2. It shall be prohibited to:

- (a) provide, directly or indirectly, technical assistance, training or other assistance, including the provision of armed mercenary personnel, related to military activities or to the provision, maintenance and use of items referred to in paragraph 1, to any natural or legal person, entity or body in, or for use in, Libya;

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- (b) provide, directly or indirectly, financial assistance related to military activities or to the provision, maintenance and use of items referred to in paragraph 1, to any natural or legal person, entity or body in, or for use in, Libya;
- (c) participate, knowingly and intentionally, in activities, the object or effect of which is to circumvent the prohibitions referred to in points (a) or (b).

Article 2

1. Article 1 shall not apply to:

- (a) the supply, sale or transfer of non-lethal military equipment or of equipment which might be used for internal repression, intended solely for humanitarian or protective use;
- (b) other supply, sale or transfer of arms and related material;
- (c) the provision of technical assistance, training or other assistance, including personnel, related to such equipment;
- (d) the provision of financial assistance related to such equipment;

as approved in advance, where appropriate, by the Committee established pursuant to paragraph 24 of UNSCR 1970 (2011) ('the Committee');

2. Article 1 shall not apply to the supply, sale or transfer of protective clothing, including flak jackets and military helmets, temporarily exported to Libya by UN personnel, personnel of the European Union or its Member States, representatives of the media and humanitarian and development workers and associated personnel for their personal use only.

▼M11

3. Article 1 shall not apply to the supply, sale or transfer of:

- (a) arms and related materiel of all types, including technical assistance, training, financial and other assistance, intended solely for security or disarmament assistance to the Libyan authorities;
- (b) small arms, light weapons and related materiel, temporarily exported to Libya for the sole use of United Nations personnel, representatives of the media and humanitarian and development workers and associated personnel,

notified to the Committee in advance and in the absence of a negative decision by the Committee within 5 working days of such a notification.

▼ B*Article 3*

The procurement by nationals of Member States, either using their flag vessels or aircraft, of the items referred to in Article 1(1) from Libya shall be prohibited, whether or not originating in the territory of Libya.

▼ M12 _____**▼ B***Article 4***▼ M3**

1. Member States shall inspect, in accordance with their national authorities and legislation and consistent with international law, in particular the law of the sea and relevant international civil aviation agreements, vessels and aircraft bound to or from Libya, in their territory, including their seaports and airports, and on the high seas, if they have information that provides reasonable grounds to believe that the cargo of such vessels and aircraft contains items the supply, sale, transfer or export of which is prohibited under this Decision.

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2. Member States shall, upon discovery, seize and dispose of (such as through destruction, rendering inoperable, storage or transferring to a State other than the originating or destination States for disposal) items whose supply, sale, transfer or export is prohibited under this Decision.

3. Member States shall cooperate, in accordance with their national legislation, with inspections and disposals undertaken pursuant to paragraphs 1 and 2.

4. Aircrafts and vessels transporting cargo to and from Libya shall be subject to the requirement of additional pre-arrival or pre-departure information for all goods brought into or out of a Member State.

▼ M3*Article 4a***▼ M11** _____**▼ M3**

2. Member States shall deny permission to any aircraft to take off from, land in or overfly their territory, if they have information that provides reasonable grounds to believe that the aircraft contains items the supply, sale, transfer, or export of which is prohibited under this Decision, including the provision of armed mercenary personnel, except in the case of an emergency landing.

▼B*Article 5***▼M3**

1. Member States shall take the necessary measures to prevent the entry into, or transit through, their territories of:

- (a) persons listed in Annex I to UNSCR 1970 (2011), and additional persons designated by the Security Council or by the Committee in accordance with paragraph 22 of UNSCR 1970 (2011) and paragraph 23 of UNSCR 1973 (2011), as listed in Annex I to this Decision;
- (b) persons not covered by Annex I to this Decision involved in or complicit in ordering, controlling, or otherwise directing, the commission of serious human rights abuses against persons in Libya, including by being involved in or complicit in planning, commanding, ordering or conducting attacks, in violation of international law, including aerial bombardments, on civilian populations and facilities, or acting for or on their behalf or at their direction, as listed in Annex II to this Decision.

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2. Paragraph 1 shall not oblige a Member State to refuse its own nationals entry into its territory.

3. Paragraph 1(a) shall not apply where the Committee determines that:

- (a) travel is justified on the grounds of humanitarian need, including religious obligation; or
- (b) an exemption would further the objectives of peace and national reconciliation in Libya and stability in the region;

4. Paragraph 1(a) shall not apply where:

- (a) entry or transit is necessary for the fulfilment of a judicial process; or
- (b) a Member State determines on a case-by-case basis that such entry or transit is required to advance peace and stability in Libya and the Member State subsequently notifies the Committee within forty-eight hours after making such a determination.

5. Paragraph 1(b) shall be without prejudice to the cases where a Member State is bound by an obligation of international law, namely:

- (a) as a host country to an international intergovernmental organisation;
- (b) as a host country to an international conference convened by, or under the auspices of, the UN;
- (c) under a multilateral agreement conferring privileges and immunities; or
- (d) under the 1929 Treaty of Conciliation (Lateran pact) concluded by the Holy See (State of the Vatican City) and Italy.

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6. Paragraph 5 shall be considered as applying also in cases where a Member State is host country to the Organisation for Security and Cooperation in Europe (OSCE).

7. The Council shall be duly informed in all cases where a Member State grants an exemption pursuant to paragraphs 5 or 6.

8. Member States may grant exemptions from the measures imposed under paragraph 1(b) where travel is justified on the grounds of urgent humanitarian need, or on grounds of attending intergovernmental meetings, including those promoted by the Union, or hosted by a Member State holding the Chairmanship in office of the OSCE, where a political dialogue is conducted that directly promotes democracy, human rights and the rule of law in Libya.

9. A Member State wishing to grant exemptions referred to in paragraph 8 shall notify the Council in writing. The exemption shall be deemed to be granted unless one or more Council members raise an objection in writing within two working days of receiving notification of the proposed exemption. Should one or more Council members raise an objection, the Council, acting by a qualified majority, may decide to grant the proposed exemption.

10. In cases where, pursuant to paragraphs 5, 6, and 8, a Member State authorises the entry into, or transit through, its territory of persons listed in the Annex, the authorisation shall be limited to the purpose for which it is given and to the persons concerned thereby.

*Article 6***▼M3**

1. All funds, other financial assets and economic resources, owned or controlled, directly or indirectly, by:

- (a) persons and entities listed in Annex II to UNSCR 1970 (2011), and additional persons and entities designated by the Security Council or by the Committee in accordance with paragraph 22 of UNSCR 1970 (2011) and paragraphs 19 and 23 of UNSCR 1973 (2011), as listed in Annex III to this Decision;
- (b) persons and entities not covered by Annex III to this Decision involved in or complicit in ordering, controlling, or otherwise directing, the commission of serious human rights abuses against persons in Libya, including by being involved in or complicit in planning, commanding, ordering or conducting attacks, in violation of international law, including aerial bombardments, on civilian populations and facilities, or by the Libyan authorities, or by persons and entities that have violated or have assisted in violating the provisions of UNSCR 1970 (2011) or of this Decision, or by persons or entities acting for or on their behalf or at their direction, or by entities owned or controlled by them or by persons and entities listed in Annex III, as listed in Annex IV to this Decision;

shall be frozen.

▼M13

1a. All funds, other financial assets and economic resources, owned or controlled, directly or indirectly by the:

- (a) Libyan Investment Authority; and
- (b) Libyan Africa Investment Portfolio,

that are frozen as of 16 September 2011 shall remain frozen.

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2. No funds, other financial assets or economic resources shall be made available, directly or indirectly, to or for the benefit of, natural or legal persons or entities referred to in paragraph 1.

▼M6**▼C2**

2a. The prohibition on making funds, financial assets or economic resources available to persons or entities referred to in paragraph 1(b), in so far as it applies to port authorities, shall not prevent the execution, until 15 July 2011, of contracts concluded before 7 June 2011, with the exception of contracts relating to oil, gas and refined products.

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3. Exemptions may be made for funds, financial assets and economic resources which are:

- (a) necessary for basic expenses, including payment of foodstuffs, rent or mortgage, medicines and medical treatment, taxes, insurance premiums, and public utility charges;
- (b) intended exclusively for payment of reasonable professional fees and reimbursement of incurred expenses associated with the provision of legal services in accordance with national laws; or
- (c) intended exclusively for payment of fees or service charges, in accordance with national laws, for routine holding or maintenance of frozen funds, other financial assistance and economic resources;

after notification by the Member State concerned to the Committee, where appropriate, of the intention to authorise access to such funds, other financial assets or economic resources and in the absence of a negative decision by the Committee within five working days of such notification.

4. Exemptions may also be made for funds and economic resources which are:

- (a) necessary for extraordinary expenses, after notification by the Member State concerned to the Committee, where appropriate, and approval by the Committee; or

▼ B

- (b) the subject of a judicial, administrative or arbitral lien or judgement, in which case the funds, other financial assets and economic resources may be used to satisfy that lien or judgement provided that the lien or judgement was entered before the date of adoption of UNSCR 1970 (2011), and is not for the benefit of a person or entity referred to in paragraph 1, after notification by the Member State concerned to the Committee, where appropriate.

▼ M3

4a. With regard to persons and entities listed in Annex IV to this Decision, exemptions may also be made for funds and economic resources which are necessary for humanitarian purposes, such as delivering or facilitating the delivery of assistance, including medical supplies, food, the provision of electricity, humanitarian workers and related assistance, or evacuating foreign nationals from Libya.

▼ M11

4b. With regard to entities referred to in paragraph 1a, exemptions may also be made for funds, financial assets and economic resources provided that:

- (a) the Member State concerned has provided notice to the Committee of its intent to authorise access to funds, other financial assets, or economic resources, for one or more of the following purposes and in the absence of a negative decision by the Committee within 5 working days of such a notification:
 - (i) humanitarian needs;
 - (ii) fuel, electricity and water for strictly civilian uses;
 - (iii) resuming Libyan production and sale of hydrocarbons;
 - (iv) establishing, operating, or strengthening institutions of civilian government and civilian public infrastructure; or
 - (v) facilitating the resumption of banking sector operations, including to support or facilitate international trade with Libya;
- (b) the Member State concerned has notified the Committee that those funds, other financial assets or economic resources shall not be made available to or for the benefit of the persons referred to in paragraph 1;
- (c) the Member State concerned has consulted in advance with the Libyan authorities about the use of such funds, other financial assets, or economic resources; and
- (d) the Member State concerned has shared with the Libyan authorities the notification submitted pursuant to this paragraph and the Libyan authorities have not objected within 5 working days to the release of such funds, other financial assets, or economic resources.

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5. Paragraph 1 shall not prevent a designated person or entity from making payment due under a contract entered into before the listing of such a person or entity, provided that the relevant Member State has determined that the payment is not directly or indirectly received by a person or entity referred to in paragraph 1 and after notification by the relevant Member State to the Committee, where appropriate, of the intention to make or receive such payments or to authorise the unfreezing of funds, other financial assets or economic resources for this purpose, 10 working days prior to such authorisation.

▼M11

5a. Paragraph 1a shall not prevent an entity referred to therein from making payment due under a contract entered into before the listing of such an entity under this Decision, provided that the relevant Member State has determined that the payment is not directly or indirectly received by a person or entity referred to in paragraphs 1 and 1a and after notification by the relevant Member State to the Committee of the intention to make or receive such payments or to authorise the unfreezing of funds or other financial assets or economic resources for this purpose, 10 working days prior to such authorisation.

▼M14

5b. With regard to persons and entities listed in Annex IV, and by way of derogation from paragraph 1(b), the competent authorities of a Member State may authorise the release of certain frozen funds or economic resources provided the following conditions are met:

- (a) the funds or economic resources are subject of an arbitral decision rendered prior to the date on which the natural or legal person, entity or body referred to in paragraph 1(b) was listed in the Annex IV or of a judicial or administrative decision rendered in the Union, or a judicial decision enforceable in the Member State concerned, prior to or after that date;
- (b) the funds or economic resources will be used exclusively to satisfy claims secured by such a decision or recognised as valid in such a decision, within the limits set by applicable laws and regulations governing the rights of persons having such claims;
- (c) the decision is not for the benefit of a natural or legal person, entity or body listed in Annexes II and IV; and
- (d) recognising the decision is not contrary to public policy in the Member State concerned.

A Member State shall inform the other Member States and the Commission of any authorisation granted under this paragraph.

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6. Paragraph 2 shall not apply to the addition to frozen accounts of:

- (a) interest or other earnings due on those accounts; or
- (b) payments due under contracts, agreements or obligations that arose before the date on which those accounts became subject to restrictive measures;

▼M14

- (c) payments due under judicial, administrative or arbitral decisions rendered in the Union or enforceable in the Member State concerned, with regard to persons and entities listed in Annex IV;

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provided that any such interest, other earnings and payments continue to be subject to paragraph 1.

▼M3*Article 6a*

Member States shall require their nationals, persons subject to their jurisdiction and firms incorporated in their territories or subject to their jurisdiction to exercise vigilance when doing business with entities incorporated in Libya or subject to Libya's jurisdiction, and any individuals and entities acting on their behalf or at their direction, and entities owned or controlled by them, with a view to preventing business that could contribute to violence and the use of force against civilians.

▼B*Article 7*

No claims, including for compensation or any other claim of this kind, such as a claim of set-off or a claim under a guarantee, in connection with any contract or transaction the performance of which was affected, directly or indirectly, wholly or in part, by reason of measures decided upon pursuant to UNSCR 1970 (2011), including measures of the Union or any Member State in accordance with, as required by or in any connection with, the implementation of the relevant decisions of the Security Council or measures covered by this Decision, shall be granted to the designated persons or entities listed in Annexes I, II, III or IV, or any other person or entity in Libya, including the Government of Libya, or any person or entity claiming through or for the benefit of any such person or entity.

Article 8

1. The Council shall implement modifications to Annexes I and III on the basis of the determinations made by the Security Council or by the Committee.
2. The Council, acting on a proposal from Member States or from the High Representative of the Union for Foreign Affairs and Security Policy, shall establish the lists in Annexes II and IV and adopt modifications thereto.

Article 9

1. Where the Security Council or the Committee lists a person or entity, the Council shall include such person or entity in Annexes I or III.
2. Where the Council decides to subject a person or entity to the measures referred to in Articles 5(1)(b) and 6(1)(b), it shall amend Annexes II and IV accordingly.

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3. The Council shall communicate its decision to the person or entity referred to in paragraphs 1 and 2, including the grounds for listing, either directly, if the address is known, or through the publication of a notice, providing such person or entity an opportunity to present observations.

4. Where observations are submitted, or where substantial new evidence is presented, the Council shall review its decision and inform the person or entity accordingly.

Article 10

1. Annexes I, II, III and IV shall include the grounds for listing of listed persons and entities concerned, as provided by the Security Council or by the Committee with regard to Annexes I and III.

2. Annexes I, II, III and IV shall also contain, where available, the information necessary to identify the persons or entities concerned, as provided by the Security Council or by the Committee with regard to Annexes I and III. With regard to persons, such information may include names, including aliases, date and place of birth, nationality, passport and ID card numbers, gender, address if known, and function or profession. With regard to entities, such information may include names, place and date of registration, registration number and place of business. Annexes I and III shall also include the date of designation by the Security Council or by the Committee.

Article 11

In order to maximise the impact of the measures laid down in this Decision, the Union shall encourage third States to adopt similar restrictive measures.

Article 12

1. This Decision shall be reviewed, amended or repealed as appropriate, notably in the light of relevant decisions by the Security Council.

2. The measures referred to in Articles 5(1)(b) and 6(1)(b) shall be reviewed at regular intervals and at least every 12 months. They shall cease to apply in respect of the persons and entities concerned if the Council determines, in accordance with the procedure referred in Article 8(2), that the conditions for their application are no longer met.

Article 13

This Decision shall enter into force on the date of its adoption.

▼ **M4***ANNEX I***List of persons referred to in Article 5(1)(a)**▼ **M14**1. **AL-BAGHDADI, Dr Abdulqader Mohammed**

Passport number: B010574. Date of birth: 1.7.1950.

Head of the Liaison Office of the Revolutionary Committees. Revolutionary Committees involved in violence against demonstrators.

Believed status/location: jail in Tunisia.

Date of UN designation: 26.2.2011.

▼ **M4**2. **DIBRI, Abdulqader Yusef**

Date of birth: 1946. Place of birth: Houn, Libya.

Head of Muammar QADHAFI's personal security. Responsibility for regime security. History of directing violence against dissidents.

Date of UN designation: 26.2.2011.

3. **DORDA, Abu Zayd Umar**

Director, External Security Organisation. Regime loyalist. Head of external intelligence agency.

Date of UN designation: 26.2.2011.

▼ **M14**4. **JABIR, Major General Abu Bakr Yunis**

Date of birth: 1952. Place of birth: Jalo, Libya.

Defence Minister. Overall responsibility for actions of armed forces.

Believed status/location: deceased.

Date of UN designation: 26.2.2011.

5. **MATUQ, Matuq Mohammed**

Date of birth: 1956. Place of birth: Khoms, Libya.

Secretary for Utilities. Senior member of regime. Involvement with Revolutionary Committees. Past history of involvement in suppression of dissent and in violence.

Believed status/location: Unknown, believed captured.

Date of UN designation: 26.2.2011.

▼ **M4**6. **QADHAF AL-DAM, Sayyid Mohammed**

Date of birth: 1948. Place of birth: Sirte, Libya.

Cousin of Muammar QADHAFI. In the 1980s, Sayyid was involved in the dissident assassination campaign and allegedly responsible for several deaths in Europe. He is also thought to have been involved in arms procurement.

Date of UN designation: 26.2.2011.

▼ **M14**7. **QADHAFI, Aisha Muammar**

Date of birth: 1978. Place of birth: Tripoli, Libya.

Daughter of Muammar QADHAFI. Closeness of association with regime.

Believed status/location: Algeria.

Date of UN designation: 26.2.2011.

▼ M14**8. QADHAFI, Hannibal Muammar**

Passport number: B/002210. Date of birth: 20.9.1975. Place of birth: Tripoli, Libya.

Son of Muammar QADHAFI. Closeness of association with regime.

Believed status/location: Algeria.

Date of UN designation: 26.2.2011.

9. QADHAFI, Khamis Muammar

Date of birth: 1978. Place of birth: Tripoli, Libya.

Son of Muammar QADHAFI. Closeness of association with regime. Command of military units involved in repression of demonstrations.

Believed status/location: deceased.

Date of UN designation: 26.2.2011.

10. QADHAFI, Mohammed Muammar

Date of birth: 1970. Place of birth: Tripoli, Libya.

Son of Muammar QADHAFI. Closeness of association with regime.

Believed status/location: Algeria.

Date of UN designation: 26.2.2011.

11. QADHAFI, Muammar Mohammed Abu Minyar

Date of birth: 1942. Place of birth: Sirte, Libya.

Leader of the Revolution, Supreme Commander of Armed Forces. Responsibility for ordering repression of demonstrations, human rights abuses.

Believed status/location: deceased.

Date of UN designation: 26.2.2011.

12. QADHAFI, Mutassim

Date of birth: 1976. Place of birth: Tripoli, Libya.

National Security Adviser. Son of Muammar QADHAFI. Closeness of association with regime.

Believed status/location: deceased.

Date of UN designation: 26.2.2011.

13. QADHAFI, Saadi

Passport number: a) 014797. b) 524521. Date of birth: a) 27.5.1973. b) 01.01.1975. Place of birth: Tripoli, Libya.

Commander Special Forces. Son of Muammar QADHAFI. Closeness of association with regime. Command of military units involved in repression of demonstrations.

Believed status/location: Niger.

Date of UN designation: 26.2.2011.

14. QADHAFI, Saif al-Arab

Date of birth: 1982. Place of birth: Tripoli, Libya.

Son of Muammar QADHAFI. Closeness of association with regime.

Believed status/location: deceased.

Date of UN designation: 26.2.2011.

▼ M14**15. QADHAFI, Saif al-Islam**

Passport number: B014995. Date of birth: 25.6.1972. Place of birth: Tripoli, Libya.

Director, Qadhafi Foundation. Son of Muammar QADHAFI. Closeness of association with regime. Inflammatory public statements encouraging violence against demonstrators.

Believed status/location: in custody in Libya.

Date of UN designation: 26.2.2011.

▼ M4**16. AL-SENUSSI, Colonel Abdullah**

Date of birth: 1949. Place of birth: Sudan.

Director Military Intelligence. Military Intelligence involvement in suppression of demonstrations. Past history includes suspicion of involvement in Abu Selim prison massacre. Convicted in absentia for bombing of UTA flight. Brother-in-law of Muammar QADHAFI.

Date of UN designation: 26.2.2011.

17. AL QADHAFI, Quren Salih Quren

Libyan Ambassador to Chad. Has left Chad for Sabha. Involved directly in recruiting and coordinating mercenaries for the regime.

Date of UN designation: 17.3.2011

▼ M14**18. AL KUNI, Colonel Amid Husain**

Governor of Ghat (South Libya). Directly involved in recruiting mercenaries.

Believed status/location: South Libya.

Date of UN designation: 17.3.2011.

19. AL-BARASSI, Safia Farkash

Date of birth: 1952. Place of birth: Al Bayda, Libya.

Married to Muammar QADHAFI since 1970. Significant personal wealth, which could be used for regime purposes. Her sister Fatima FARKASH is married to ABDALLAH SANUSSI, head of Libyan military intelligence.

Believed status/location: Algeria.

Date of UN designation: 24.6.2011.

▼ **M4**

ANNEX II

List of persons referred to in Article 5(1)(b)

	Name	Identifying information	Reasons	Date of listing
1.	ABDULHAFIZ, Colonel Mas'ud	Position: Armed Forces Commander	3rd in command of Armed Forces. Significant role in Military Intelligence.	28.2.2011
2.	ABDUSSALAM, Abdussalam Mohammed	Position: Head Counter-Terrorism, External Security Organisation Date of Birth: 1952 Place of Birth: Tripoli, Libya	Prominent Revolutionary Committee member. Close associate of Muammar QADHAFI.	28.2.2011
3.	ABU SHAARIYA	Position: Deputy Head, External Security Organisation	Prominent member of regime. Brother-in- law of Muammar QADHAFI.	28.2.2011
4.	ASHKAL, Al-Barrani	Position: Deputy Director, Military Intelligence	Senior member of regime.	28.2.2011
5.	ASHKAL, Omar	Position: Head, Revolutionary Committees Movement Place of Birth: Sirte, Libya	Revolutionary Committees involved in violence against demonstrators.	28.2.2011
6.	QADHAF AL-DAM, Ahmed Mohammed	Date of Birth: 1952 Place of Birth: Egypt	Cousin of Muammar QADHAFI. Since 1995, he is believed to have had command of an elite army battalion in charge of Qadhafi's personal security and to have a key role in External Security Organisation. He has been involved in planning oper- ations against Libyan dissidents abroad and was directly involved in terrorist activity.	28.2.2011
7.				
8.	SALEH, Bachir	Date of birth: 1946 Place of birth: Traghen	Head of Cabinet of the Leader. Closeness of association with regime.	28.2.2011
9.	General TOHAMI, Khaled	Date of birth: 1946 Place of birth: Genzur	Director of Internal Security Office. Closeness of association with regime.	28.2.2011

▼ **M14**▼ **M4**

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	Name	Identifying information	Reasons	Date of listing
10.	FARKASH, Mohammed Boucharaya	Date of birth: 1.7.1949 Place of birth: Al-Bayda	Director of intelligence in External Security Office. Closeness of association with regime.	28.2.2011
11.	EL-KASSIM ZOUAI, Mohamed Abou		Secretary General of the General People's Congress; involved in violence against demonstrators.	21.3.2011
12.	AL-MAHMOUDI, Baghdadi		Prime Minister of Colonel Qadhafi's Government; involved in violence against demonstrators.	21.3.2011
13.	HIJAZI, Mohamad Mahmoud		Minister for Health and Environment in Colonel Qadhafi's Government; involved in violence against demonstrators.	21.3.2011
14.	ZLITNI, Abdelhaziz	Date of birth: 1935	Minister for Planning and Finance in Colonel Qadhafi's Government; involved in violence against demonstrators.	21.3.2011
15.	HOUEJ, Mohamad Ali	Date of birth: 1949 Place of birth: Al-Azizia (near Tripoli)	Minister for Industry, Economy and Trade in Colonel Qadhafi's Government; involved in violence against demonstrators.	21.3.2011
16.	AL-GAOU, Abdelmajid	Date of birth: 1943	Minister for Agriculture, Animal and Maritime Resources in Colonel Qadhafi's Government.	21.3.2011
17.	AL-CHARIF, Ibrahim Zarroug		Minister for Social Affairs in Colonel Qadhafi's Government; involved in violence against demonstrators.	21.3.2011
18.	FAKHIRI, Abdelkebir Mohamad	Date of birth: 4.5.1963 Passport number: B/014965 (expires end 2013)	Minister for Education, Higher Education and Research in Colonel Qadhafi's Government; involved in violence against demonstrators.	21.3.2011
19.	ZIDANE, Mohamad Ali	Date of birth: 1958 Passport number: B/0105075 (expires end 2013)	Minister for Transport in Colonel Qadhafi's Government; involved in violence against demonstrators.	21.3.2011
20.	MANSOUR, Abdallah	Date of birth: 8.7.1954 Passport number: B/014924 (expires end 2013)	Close collaborator of Colonel Qadhafi, senior role in security services and former director of radio and television; involved in violence against demonstrators.	21.3.2011
21.	Colonel Taher Juwadi	Fourth in Revolutionary Guard chain of command	Key Member of the Qadhafi regime.	23.05.2011

▼ **M5**

▼ **M4***ANNEX III***List of persons and entities referred to in Article 6(1)(a)**▼ **M14**

1. **QADHAFI, Aisha Muammar**
 Date of birth: 1978. Place of birth: Tripoli, Libya.
 Daughter of Muammar QADHAFI. Closeness of association with regime.
 Believed status/location: Algeria.
 Date of UN designation: 26.2.2011.
2. **QADHAFI, Hannibal Muammar**
 Passport number: B/002210. Date of birth: 20.9.1975. Place of birth: Tripoli, Libya.
 Son of Muammar QADHAFI. Closeness of association with regime.
 Believed status/location: Algeria.
 Date of UN designation: 26.2.2011.
3. **QADHAFI, Khamis Muammar**
 Date of birth: 1978. Place of birth: Tripoli, Libya.
 Son of Muammar QADHAFI. Closeness of association with regime.
 Command of military units involved in repression of demonstrations.
 Believed status/location: deceased.
 Date of UN designation: 26.2.2011.
4. **QADHAFI, Muammar Mohammed Abu Minyar**
 Date of birth: 1942. Place of birth: Sirte, Libya.
 Leader of the Revolution, Supreme Commander of Armed Forces. Responsibility for ordering repression of demonstrations, human rights abuses.
 Believed status/location: deceased.
 Date of UN designation: 26.2.2011.
5. **QADHAFI, Mutassim**
 Date of birth: 1976. Place of birth: Tripoli, Libya.
 National Security Adviser. Son of Muammar QADHAFI. Closeness of association with regime.
 Believed status/location: deceased.
 Date of UN designation: 26.2.2011.
6. **QADHAFI, Saif al-Islam**
 Passport number: B014995. Date of birth: 25.6.1972. Place of birth: Tripoli, Libya.
 Director, Qadhafi Foundation. Son of Muammar QADHAFI. Closeness of association with regime. Inflammatory public statements encouraging violence against demonstrators.
 Believed status/location: in custody in Libya.
 Date of UN designation: 26.2.2011.

▼ **M4**7. **DORDA, Abu Zayd Umar**

Director, External Security Organisation. Regime loyalist. Head of external intelligence agency.

Date of UN designation: 17.3.2011 (EU designation: 28.2.2011)

▼ **M14**8. **JABIR, Major General Abu Bakr Yunis**

Date of birth: 1952. Place of birth: Jalo, Libya.

Defence Minister. Overall responsibility for actions of armed forces.

Believed status/location: deceased.

Date of UN designation: 26.2.2011.

9. **MATUQ, Matuq Mohammed**

Date of birth: 1956. Place of birth: Khoms, Libya.

Secretary for Utilities. Senior member of regime. Involvement with Revolutionary Committees. Past history of involvement in suppression of dissent and in violence.

Believed status/location: Unknown, believed captured.

Date of UN designation: 26.2.2011.

10. **QADHAFI, Mohammed Muammar**

Date of birth: 1970. Place of birth: Tripoli, Libya.

Son of Muammar QADHAFI. Closeness of association with regime.

Believed status/location: Algeria.

Date of UN designation: 26.2.2011.

11. **QADHAFI, Saadi**

Passport number: a) 014797. b) 524521. Date of birth: a) 27.5.1973. b) 01.01.1975. Place of birth: Tripoli, Libya.

Commander Special Forces. Son of Muammar QADHAFI. Closeness of association with regime. Command of military units involved in repression of demonstrations.

Believed status/location: Niger.

Date of UN designation: 26.2.2011.

12. **QADHAFI, Saif al-Arab**

Date of birth: 1982. Place of birth: Tripoli, Libya.

Son of Muammar QADHAFI. Closeness of association with regime.

Believed status/location: deceased.

Date of UN designation: 26.2.2011.

▼ **M4**13. **AL-SENUSSI, Colonel Abdullah**

Date of birth: 1949. Place of birth: Sudan.

Director Military Intelligence. Military Intelligence involvement in suppression of demonstrations. Past history includes suspicion of involvement in Abu Selim prison massacre. Convicted in absentia for bombing of UTA flight. Brother-in-law of Muammar QADHAFI.

Date of UN designation: 17.3.2011 (EU designation: 28.2.2011)

▼ M14**14. AL-BARASSI, Safia Farkash**

Date of birth: 1952, Place of birth: Al Bayda, Libya.

Married to Muammar QADHAFI since 1970. Significant personal wealth, which could be used for regime purposes. Her sister Fatima FARKASH is married to ABDALLAH SANUSSI, head of Libyan military intelligence.

Believed status/location: Algeria.

Date of UN designation: 24.6.2011.

▼ M11

▼ **M4**

ANNEX IV

List of persons and entities referred to in Article 6(1)(b)

Persons

	Name	Identifying information	Reasons	Date of listing
1.	ABDULHAFIZ, Colonel Mas'ud	Position: Armed Forces Commander	3rd in command of Armed Forces. Significant role in Military Intelligence.	28.2.2011
2.	ABDUSSALAM, Abdussalam Mohammed	Position: Head Counter-Terrorism, External Security Organisation Date of Birth: 1952 Place of Birth: Tripoli, Libya	Prominent Revolutionary Committee member. Close associate of Muammar QADHAFI.	28.2.2011
3.	ABU SHAARIYA	Position: Deputy Head, External Security Organisation	Prominent member of regime. Brother-in-law of Muammar QADHAFI.	28.2.2011
4.	ASHKAL, Al-Barrani	Position: Deputy Director, Military Intelligence	Senior member of regime.	28.2.2011
5.	ASHKAL, Omar	Position: Head, Revolutionary Committees Movement Place of Birth: Sirte, Libya	Revolutionary Committees involved in violence against demonstrators.	28.2.2011
▼ M14				
6.	AL-BAGHDADI, Dr Abdulqader Mohammed	Head of the Liaison Office of the Revol- utionary Committees. Passport number: B010574. Date of birth: 1.7.1950. Believed status/ location: jail in Tunisia.	Revolutionary Committees involved in violence against demonstrators.	28.2.2011
▼ M4				
7.	DIBRI, Abdulqader Yusef	Position: Head of Muammar QADHAFI's personal security Date of Birth: 1946 Place of Birth: Houn, Libya	Responsibility for regime security. History of directing violence against dissidents.	28.2.2011

▼ M4

	Name	Identifying information	Reasons	Date of listing
8.	QADHAF AL-DAM, Ahmed Mohammed	Date of Birth: 1952 Place of Birth: Egypt	Cousin of Muammar QADHAFI. Since 1995, he is believed to have had command of an elite army battalion in charge of Qadhafi's personal security and to have a key role in External Security Organisation. He has been involved in planning operations against Libyan dissidents abroad and was directly involved in terrorist activity.	28.2.2011
9.	QADHAF AL-DAM, Sayyid Mohammed	Date of Birth: 1948 Place of Birth: Sirte, Libya	Cousin of Muammar QADHAFI. In the 1980s, Sayyid was involved in the dissident assassination campaign and allegedly responsible for several deaths in Europe. He is also thought to have been involved in arms procurement.	28.2.2011

▼ M14

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11.	SALEH, Bachir	Date of birth: 1946 Place of birth: Tragen	Head of Cabinet of the Leader. Closeness of association with regime.	28.2.2011
12.	TOHAMI, General Khaled	Date of birth: 1946 Place of birth: Genzur	Director of Internal Security Office. Closeness of association with regime.	28.2.2011
13.	FARKASH, Mohammed Boucharaya	Date of birth: 1 July 1949 Place of birth: Al-Bayda	Director of intelligence in External Security Office. Closeness of association with regime.	28.2.2011

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15.	EL-KASSIM ZOUAI, Mohamed Abou		Secretary General of the General People's Congress; involved in violence against demonstrators.	21.3.2011
16.	AL-MAHMOUDI, Baghdadi		Prime Minister of Colonel Qadhafi's Government; involved in violence against demonstrators.	21.3.2011
17.	HIJAZI, Mohamad Mahmoud		Minister for Health and Environment in Colonel Qadhafi's Government; involved in violence against demonstrators.	21.3.2011
18.	ZLITNI, Abdelhaziz	Date of birth: 1935	Minister for Planning and Finance in Colonel Qadhafi's Government; involved in violence against demonstrators.	21.3.2011

▼ **M4**

	Name	Identifying information	Reasons	Date of listing
19.	HOUEJ, Mohamad Ali	Date of birth: 1949 Place of birth: Al-Azizia (near Tripoli)	Minister for Industry, Economy and Trade in Colonel Qadhafi's Government; involved in violence against demonstrators.	21.3.2011
20.	AL-GAOU, Abdelmajid	Date of birth: 1943	Minister for Agriculture, Animal and Maritime Resources in Colonel Qadhafi's Government.	21.3.2011
21.	AL-CHARIF, Ibrahim Zarroug		Minister for Social Affairs in Colonel Qadhafi's Government; involved in violence against demonstrators.	21.3.2011
22.	FAKHIRI, Abdelkebir Mohamad	Date of birth: 4 May 1963 Passport number: B/014965 (expired end 2013)	Minister for Education, Higher Education and Research in Colonel Qadhafi's Government; involved in violence against demonstrators.	21.3.2011
23.	ZIDANE, Mohamad Ali	Date of birth: 1958 Passport number: B/0105075 (expired end 2013)	Minister for Transport in Colonel Qadhafi's Government; involved in violence against demonstrators.	21.3.2011
24.	MANSOUR, Abdallah	Date of birth: 8.7.1954 Passport number: B/014924 (expired end 2013)	Close collaborator of Colonel Qadhafi, senior role in security services and former director of radio and television; involved in violence against demonstrators.	21.3.2011
25.	AL QADHAFI, Quren Salih Quren		Libyan Ambassador to Chad. Has left Chad for Sabha. Involved directly in recruiting and coordinating mercenaries for the regime.	12.4.2011

▼ **M14**

26.	AL KUNI, Colonel Amid Husain	Believed status/location: South Libya	Governor of Ghat (South Libya). Directly involved in recruiting mercenaries.	12.4.2011
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▼ **M5**

27.	Colonel Taher Juwadi	Fourth in Revolutionary Guard chain of command	Key Member of the Qadhafi regime.	23.05.2011
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▼ **M4****Entities**

	Name	Identifying information	Reasons	Date of listing
1.	Libyan Housing and Infrastructure Board (HIB)	Tajora, Tripoli, Libya Legislation number: 60/2006 by Libyan General People's Committee Tel: +218 21 369 1840, Fax: +218 21 369 6447 http://www.hib.org.ly	Under control of Muammar Qadhafi and his family, and potential source of funding for his regime.	10.3.2011
▼ M9				
▼ M4				
3.	Libyan Arab African Investment Company - LAAICO	Site: http://www.laaico.com Company established in 1981 76351 Janzour-Libya. 81370 Tripoli-Libya Tel: 00 218 (21) 4890146 – 4890586 - 4892613 Fax: 00 218 (21) 4893800 - 4891867 email: info@laaico.com	Controlled by Muammar Qadhafi's regime and potential source of funding for it	21.3.2011
4.	Gaddafi International Charity and Development Foundation	Contact details of administration: Hay Alandalus – Jian St. – Tripoli – PoBox: 1101 – LIBYA Telephone: (+218) 214778301 - Fax: (+218) 214778766; email: info@gicdf.org	Controlled by Muammar Qadhafi's regime and potential source of funding for it.	21.3.2011
5.	Waatassimou Foundation	Based in Tripoli.	Controlled by Muammar Qadhafi's regime and potential source of funding for it	21.3.2011
6.	Libyan Jamahiriya Broadcasting Corporation	Contact details: tel: 00 218 21 444 59 26; 00 21 444 59 00; fax: 00 218 21 340 21 07 http://www.ljbc.net ; email: info@ljbc.net	Public incitement to hatred and violence through participation in disinformation campaigns concerning violence against demonstrators.	21.3.2011
7.	Revolutionary Guard Corps		Involved in violence against demonstrators.	21.3.2011

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	Name	Identifying information	Reasons	Date of listing
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16.	Libyan Agricultural Bank (a.k.a. Agricultural Bank; a.k.a. Al Masraf Al Zirae Agricultural Bank; a.k.a. Al Masraf Al Zirae; a.k.a. Libyan Agricultural Bank)	El Ghayran Area, Ganzor El Sharqya, P.O. Box 1100, Tripoli, Libya; Al Jumhouria Street, East Junzour, Al Gheran, Tripoli, Libya; Email Address agbank@agri-bankly.org; SWIFT/BIC AGRULYLT (Libya); Tel No. (218)214870586; Tel No. (218) 214870714; Tel No. (218) 214870745; Tel No. (218) 213338366; Tel No. (218) 213331533; Tel No. (218) 213333541; Tel No. (218) 213333544; Tel No. (218) 213333543; Tel No. (218) 213333542; Fax No. (218) 214870747; Fax No. (218) 214870767; Fax No. (218) 214870777; Fax No. (218) 213330927; Fax No. (218) 213333545	Libyan subsidiary of the Central Bank of Libya	12.4.2011
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18.	Al-Inma Holding Co. for Services Investments		Libyan subsidiary of the Economic & Social Development Fund	12.4.2011
19.	Al-Inma Holding Co. For Industrial Investments		Libyan subsidiary of the Economic & Social Development Fund	12.4.2011
20.	Al-Inma Holding Company for Tourism Investment	Hasan al-Mashay Street (off al-Zawiyah Street) Tel No.: (218) 213345187 Fax: +218.21.334.5188 e-mail: info@ethic.ly	Libyan subsidiary of the Economic & Social Development Fund	12.4.2011
21.	Libyan Holding Company for Development and Investment		Libyan subsidiary of the Economic & Social Development Fund	12.4.2011
22.	Al-Inma Holding Co. for Construction and Real Estate Developments		Libyan subsidiary of the Economic & Social Development Fund	12.4.2011

▼ **M9**

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▼ **M4**

24.	LAP Green Networks (a.k.a. LAP Green Holding Company)		Libyan subsidiary of the Libyan Africa Investment Portfolio	12.4.2011
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	Name	Identifying information	Reasons	Date of listing
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33.	Sabtina Ltd	530-532 Elder Gate, Elder House, Milton Keynes, UK Other info: Reg no 01794877 (UK)	UK-incorporated subsidiary of the Libyan Investment Authority.	12.4.2011
34.	Dalia Advisory Limited (LIA sub)	11 Upper Brook Street, London, UK Other info: Reg no 06962288 (UK)	UK-incorporated subsidiary of the Libyan Investment Authority.	12.4.2011
35.	Ashton Global Investments Limited	Woodbourne Hall, PO Box 3162, Road Town, Tortola, British Virgin Islands Other Info: Reg no 1510484 (BVI)	BVI-incorporated subsidiary of the Libyan Investment Authority.	12.4.2011
36.	Capitana Seas Limited	c/o Trident Trust Company (BVI) Ltd, Trident Chambers, PO Box 146, Road Town, Tortola, British Virgin Islands Other info: Reg no: 1526359 (BVI)	BVI -incorporated entity owned by Saadi Qadhafi	12.4.2011
37.	Kinloss Property Limited	Woodbourne Hall, PO Box 3162, Road Town, Tortola, British Virgin Islands Other Info: Reg no 1534407 (BVI)	BVI -incorporated subsidiary of the Libyan Investment Authority.	12.4.2011
38.	Baroque Investments Limited	c/o ILS Fiduciaries (IOM) Ltd, First Floor, Millennium House, Victoria Road, Douglas, Isle of Man Other info: Reg no 59058C (IOM)	IOM-incorporated subsidiary of the Libyan Investment Authority.	12.4.2011
▼ <u>M9</u>				
▼ <u>M10</u>				
▼ <u>M9</u>				
▼ <u>M14</u>				